

**Necessary documents:**

	<b>Spot Market</b>
<b>Clearing &amp; Settlement Agreement</b> with EXAA	<b>X</b>
<b>Membership Agreement</b> with Wiener Börse AG	<b>X</b>
At least one Application for <b>Admission as Exchange Trader</b> for Trading in Electric and Proof of the Specialized knowledge and Experience Required for Trading in and the Clearing and Settlement of the trading products (EXAA Diploma)	<b>X</b>
Audited <b>Financial Statements</b> incl. notes and Report of the Management for the last two years	<b>X</b>
<b>Extract</b> from the <b>Companies Register</b> or an Equivalent Document incl. <b>Specimen Signatures</b>	<b>X</b>
Proof of Engagement in Business Activities Related to the Trading Products on the EXAA Spot Market (concessions, permits, licenses, admission notices (e.g. for trading at another licensed energy exchange) ) For trading in Germany: copy of the contract with transpower, amprion, 50Hertz or EnBW. For amprion and transpower an additional agreement has to be signed. For trading in Austria copy of "Bescheid" of E-Control has to be submitted.	<b>X</b>
Proof of Provision of Collateral Securities: Letter of Guarantee (Annex 2) or Pledge Declaration (Annex 4)	<b>X</b>
Authorization of EXAA to Debit the Settlement Account by Automatic Debit Orders (Annex 3)	<b>X</b>
Release from the Bond to Banking Secrecy (Annex 5)	<b>X</b>
Proof of Sufficient Organizational Measures Taken (Annex 7)	<b>X</b>
Proof of the Required Staff for Trading and/or the Clearing and Settlement ( <b>Contact Sheet</b> )	<b>X</b>
<b>Data Sheet</b>	<b>X</b>