

| Necessary Documents: | Spot Market CO2 |
|---|------------------------|
| Clearing & Settlement Agreement (either Cash Settlement or Direct Debit Settlement in combination with a bank guarantee) with EXAA | X |
| Membership Agreement with Wiener Börse AG | X |
| At least one Application for Admission as Exchange Trader for Trading in Environmental Products and Proof of the Specialized knowledge and Experience Required for Trading in and the Clearing and Settlement of the trading products (EXAA Diploma) | X |
| Extract from the Companies Register or an Equivalent Document incl. Specimen Signatures | X |
| Proof of Engagement in Business Activities Related to the Trading Products on the EXAA Spot Market (concessions, permits, licenses, admission notices (e.g. for trading at another licensed environmental exchange, listing on the NAP) | X |
| Release from the Bond to Banking Secrecy (either Annex 2 or Annex 4) | X |
| For Direct Debit Settlement only: Proof of Provision of Collateral Securities: Letter of Guarantee (Annex 2) | X |
| For Direct Debit Settlement only: Authorization of EXAA to Debit the Settlement Account by Automatic Debit Orders (Annex 3) | X |